

A PEDOTRANSFER FUNCTION FOR ESTIMATING THE SOIL ERODIBILITY FACTOR IN SICILY

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1. Generalities

The concept of soil erodibility and how to assess it is complicated since the soil susceptibility to erosion is affected by a large number of physical, mechanical, hydrologic and chemical soil properties. Physically based water erosion models use several soil parameters to represent the soil's response during rain and runoff. In contrast, the Universal Soil Loss Equation (USLE) [Wischmeier 1978], with its simplicity, characterizes the soil through a single parameter which can be determined using a series of other, more basic, soil characteristics [Salvador Sanchis 2008]. In particular, the soil erodibility factor, K , of the USLE is a simple descriptor of the soil's susceptibility to rill and interrill erosion [Wischmeier 1978]. This factor is defined as the rate of soil loss per erosivity index unity as measured on a standard plot which is 22.1 m long, has a 9% slope and is continuously in a clean-tilled fallow condition, with tillage performed up and downslope. The K factor is an integrated long-term average soil response to the erosive power of rainstorms [Römkens 1985] and it represents a lumped factor expressing soil response to many hydrological processes (soil detachment and transport by rainfall and runoff, rainfall infiltration and runoff generation). In Sicily (south Italy), the applicability of the USLE was tested at the experimental station of "Sparacia" during a five year period [Bagarello 2008]. The conclusion was that the model estimated satisfactorily the order of magnitude of the measured mean annual soil loss.

The procedure for determining K needs a knowledge of soil particle size distribution (PSD), soil organic matter, OM , content, and soil structure and permeability [Wischmeier 1971]. For increasing spatial scales starting from the plot scale (hillslope, basin, region), the influence of spatial variability of soil properties on erodibility has to be taken into account by carrying out a large number of determinations distrib-

uted throughout the area of interest. In this case, reducing the number of input variables in the evaluation procedure of K can be practically attractive for limiting laboratory analyses and, hence, money costs. In particular, excluding OM content from prediction of K may be desirable for work on large scales, given that OM data are often missing in regional soil maps [Zacharias 2007]. Recognizing soil structure and permeability classes may be a bigger challenge than determining OM . In practice, developing a method for estimating the soil erodibility factor using only textural data has practical importance, especially in regional analysis.

The soil erodibility factor of the USLE evaluated according to Wischmeier [1971] may be considered as an indirect measure of soil erodibility since several soil properties have to be combined according to a pre-established scheme to deduce K . A procedure using limited information to deduce K may be considered as a pedotransfer function (PTF) since an estimate of the property of interest is obtained using a reduced experimental effort.

Attempts to simplify the K evaluation procedure have been carried out in the past and simplified relationships have been proposed for predicting K values of soils for which data are limited (for instance, no information about the very fine sand fraction or organic matter content) [Römkens 1986; Römkens 1997]. Römkens [1986] used data from 249 soils worldwide to propose the following relationship [Verstraeten 2002]:

$$K_{R86} = 0.0035 + 0.0388 \exp \left[-\frac{1}{2} \left(\frac{\log D_g + 1.519}{0.7584} \right)^2 \right] \quad (1)$$

where K_{R86} ($t \text{ ha h ha}^{-1} \text{ MJ}^{-1} \text{ mm}^{-1}$) denotes the estimate of K deduced by eq.(1) and D_g (mm) is the geometric mean particle diameter.

Römkens [1997], using available global data (225 soils) of measured K values, established mean values of the soil erodibility factor corresponding to soils grouped into different textural classes. The mean value of the soil erodibility factor for each textural class,

K_{R97} ($t\ ha\ h\ ha^{-1}\ MJ^{-1}\ mm^{-1}$), was estimated according to the following relationship [Römken 1997]:

$$K_{R97} = 0.0034 + 0.0405 \exp \left[-\frac{1}{2} \left(\frac{\log D_g + 1.659}{0.7101} \right)^2 \right] \quad (2)$$

Eq.(2), having the same functional form of eq.(1), was included in the RUSLE (Revised USLE) manual [Renard 1997]. To our knowledge, tests of the applicability of eqs.(1) and (2) were not available.

The general objective of this investigation was to develop an estimating procedure of the soil erodibility factor based only on soil textural data for Sicily. At first, the existing relationships were tested using a data-base including 471 values of K determined according to the original procedure suggested in the USLE manual. Subsequently, alternative relationships were developed and tested.

2. Materials and methods

Two soil data sets were used for this investigation. The first data set (Sicily data set) includes surface soil samples collected at 243 sampling points uniformly distributed throughout Sicily (Fig. 1) [Giordano 2004]. The second data set (Imera Meridionale basin data set) includes surface soil samples collected at 228 sampling points uniformly distributed throughout the Imera Meridionale basin, having a surface area of 2000 km² [Ferro 2008].

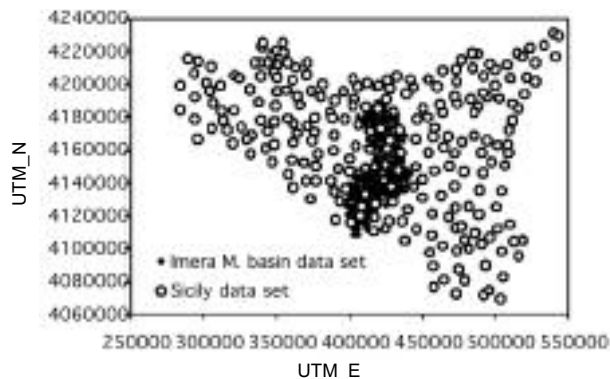


Fig. 1 - Soil sampling points in Sicily and in Imera Meridionale basin.

For each sampling point, the PSD was measured following H_2O_2 pretreatment to eliminate organic matter and clay deflocculation by sodium metaphosphate and mechanical agitation. Fine size fractions were determined by the hydrometer method, whereas the coarse fractions were obtained by mechanical sieving using mesh sizes of 2000, 860, 425, 250, 106 and 75 μm . Eight fine fraction data points were obtained by the hydrometer method measuring the suspension density after 2, 5, 15, 30, 60, 180, 1440 and 2880 min. Particle-size fraction data were

classified according to the USDA standards [Gee 1986]. For each soil sample, the percentage, f , of silt + very fine sand particles ($0.002\ mm < d < 0.1\ mm$, being d the particle diameter) and the percentage, g , of coarse sand ($0.1\ mm < d < 2\ mm$) were determined using the measured soil particle size distribution. The geometric mean particle diameter, D_g (mm), was also calculated according to the following relationship [Shirazi 1984]:

$$D_g = \exp \left(0.01 \sum_{i=1}^N f_i \ln m_i \right) \quad (3)$$

where f_i (%) is the primary particle size fraction, m_i (mm) is the arithmetic mean of the particle size limits of that size, i is the size fractions number and N is the total number of size fractions. According to Verstraeten [2002], the particle size classes clay, silt and sand (<0.002 , 0.002 to 0.05 , and 0.05 to 2.0 mm) were considered to calculate D_g . For the size fractions used in this study, values for m_i are 0.001, 0.026 and 1.025 mm for clay, silt and sand, respectively. The total organic carbon content, TOC (%), was also determined and the organic matter content (OM) was estimated to be equal to 1.724 times the measured TOC value.

For each sample, the structure index, SS , was estimated using the available soil texture information and the classification reported in Fig. 2, based on the USDA texture triangle [Giordano 2004].

In particular, the structure index $SS = 1$ (very fine granular) was associated to sandy, loamy-sand and sandy-loam soils, $SS = 2$ (fine granular) was used for sandy-clay, sandy-clay-loam, loam, silt-loam and silt soils, $SS = 3$ (medium or coarse granular) was applied to clay-loam and silty-clay-loam soils, and $SS = 4$ (blocky, platy or massive) was used for clay and silty-clay soils. For establishing the permeability index PP of each sampled soil, the classification proposed by

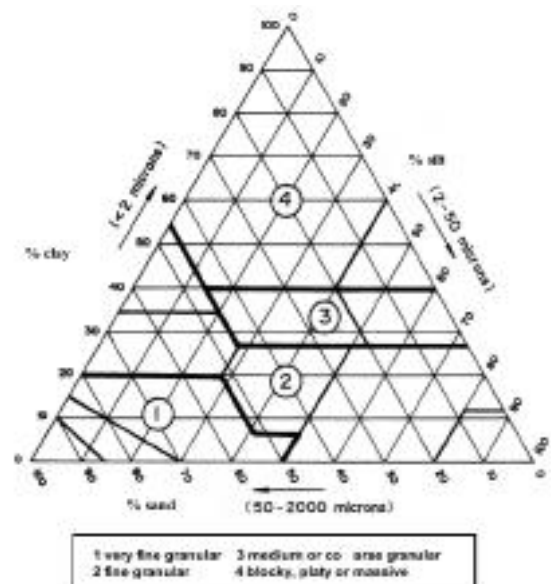


Fig. 2 - Soil structure index, SS , classification.

Soil type	K_s (cm s ⁻¹)	PP
Sandy loam, Loamy sand, Sand	$10^{-3} - 10^{-2}$	2
Silt loam, Loam, Sandy clay loam	$10^{-4} - 10^{-3}$	3
Clay, Silty clay loam, Clay loam, Sandy clay, Silt	$10^{-5} - 10^{-4}$	4
Silty clay	$10^{-6} - 10^{-5}$	5

TABLE 1 - Proposed ranges of saturated soil hydraulic conductivity, K_s [Carsel, Parrish, 1988], and permeability index, PP , for the considered groups of soils.

Carsel [1988] for saturated soil hydraulic conductivity K_s was used. USDA soil texture classes were grouped according to decreasing values of K_s .

For each considered textural group, Table 1 lists the expected range of K_s values and the corresponding permeability index. Relating both SS and PP to soil texture might induce some criticism since the actual soil structural characteristics are not considered in the determination of K . Generally, a soil survey is considered to be enough to estimate structure and permeability categories since the structure and permeability indices can be estimated using qualitative information [Wischmeier 1971]. Soil texture is known to be an important factor controlling soil structure. Therefore, despite the applied procedure being approximate, it is still physically reasonable and objectively repeatable. A similar approach was used in the RUSLE [Römkens 1997].

The applied estimate procedure of SS and PP for the examined soils yielded five values of the product $SS \times PP$ (2, 6, 12, 16 and 20).

The soil erodibility factor, K (t ha h ha⁻¹ MJ⁻¹ mm⁻¹), and its first approximation, K' according to Wischmeier [1971], were calculated by the analytical procedure suggested by Ferro [2006], which gives the same information as the original nomograph. This procedure has the practical advantage of giving an estimate of the soil erodibility factor even if the OM content is higher than 4%.

First, the applicability of eqs.(1) and (2) was tested by comparing K with both K_{R86} and K_{R97} for the two data sets. Then, the Sicily data set was used to develop a PTF for estimating K in Sicily. The first approximation, K' , of the soil erodibility factor was related to the M variable, defined as $M = f(f+g)$ [Wischmeier 1978]. The developed relationship was used to obtain an estimate of K' , denoted by the symbol K'_{es} , and the K/K'_{es} ratio at each sampling point. The mean value of K/K'_{es} was calculated and this mean value was simply multiplied by K'_{es} to obtain an estimate of K , K_{es} , at a sampling point. A different approach was also applied, taking into account that both SS and PP were related to soil texture.

In particular, the effect of the SS and PP indices on

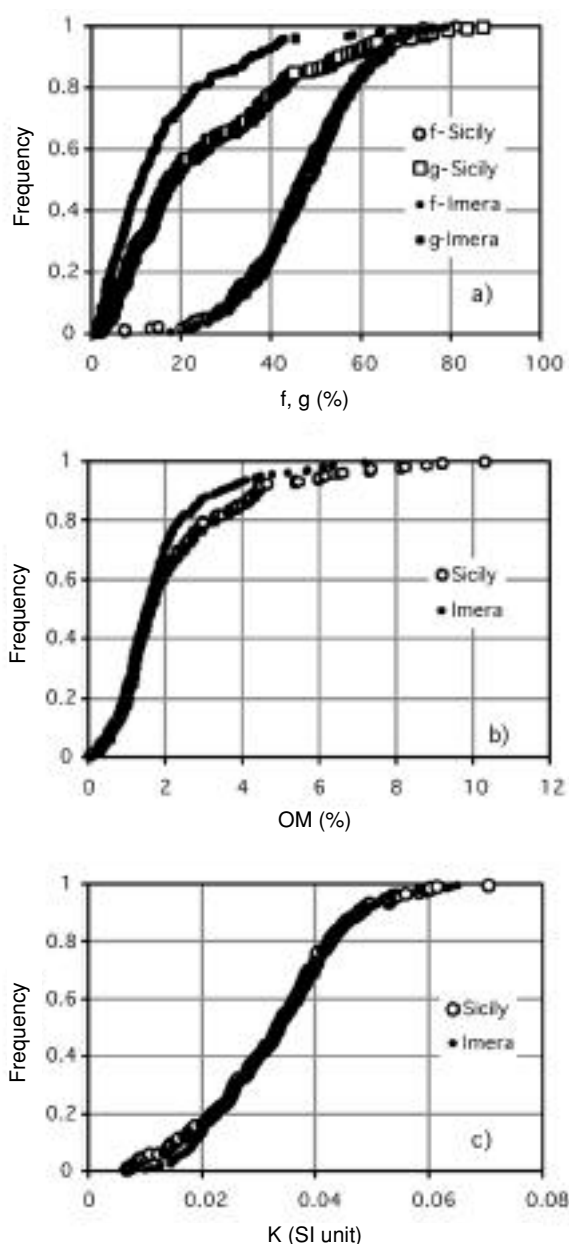


Fig. 3 - Frequency distribution of the variables a) f and g , b) OM and c) K for the two considered Sicilian data sets.

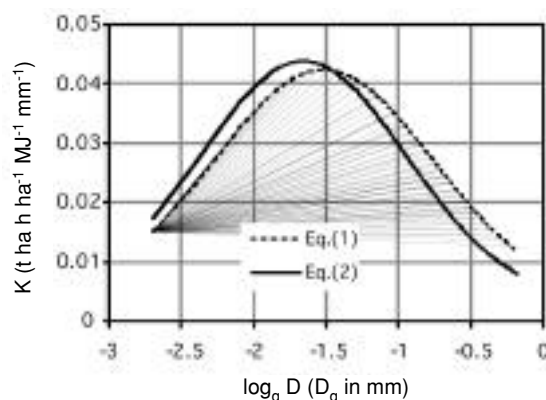


Fig. 4 - Comparison between eqs.(1) and (2).

Variable	Sicily (N = 243)			
	Min	Max	Mean	Standard deviation
f (%)	7.5	81.3	47.8	12.6
g (%)	1.4	87.1	25.3	19.8
OM (%)	0.1	10.4	2.2	1.8
D_g (mm)	0.003	0.671	0.077	0.111
K (SI units)	0.0069	0.0705	0.0326	0.0123
Variable	Imera Meridionale basin (N = 228)			
	Min	Max	Mean	Standard deviation
f (%)	17.7	79.1	46.8	12.2
g (%)	0.7	75.9	15.5	14.0
OM (%)	0.02	7.2	1.8	1.2
D_g (mm)	0.002	0.416	0.036	0.062
K (SI units)	0.0101	0.0650	0.0334	0.0112

TABLE 2 - Summary statistics of the measured soil characteristics.

the K/K'_{es} ratio was determined and a relationship having the general form of:

$$K_{es} = K'_{es} \times f(SS, PP) \quad (4)$$

was developed. The two procedures were then tested with the Imera Meridionale basin data set.

The performances of all PTFs considered in this investigation were assessed using the residual sum of squares SSE [Ferro 2006] and the Nash-Sutcliffe efficiency index $NSEI$ [Nash 1970]:

$$SSE = \sum_{i=1}^N (Y_m - Y_{es})^2 \quad (5a)$$

$$NSEI = 1 - \frac{\sum_{i=1}^N (Y_m - Y_{es})^2}{\sum_{i=1}^N (Y_m - \bar{Y}_m)^2} \quad (5b)$$

in which N is the number of the considered data points, Y_m and Y_{es} are the measured and the corresponding estimated values of the considered variable, respectively, and \bar{Y}_m is the mean of the measured values. A $NSEI = 1$ is indicative of a perfect correspondence between measured and predicted values. A value of $NSEI = 0$ suggests that the model predictions are as accurate as the mean of the observed values. A

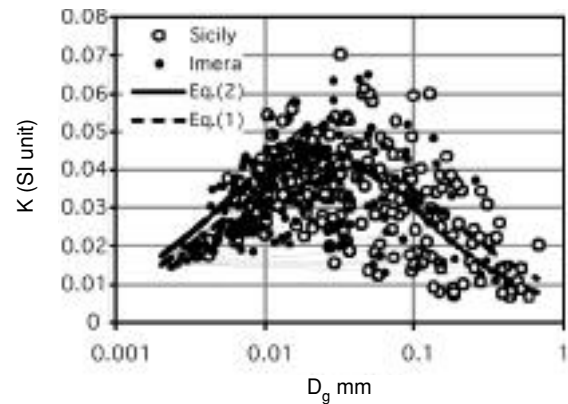


Fig. 5 - Comparison among available Sicilian data points and eqs.(1) and (2).

$NSEI$ value < 0 suggests that the observed mean is better than the predictions.

A $SSE = 0$ is indicative of a perfect correspondence between measured and predicted values.

3. Results

For the sampled soils, Fig. 3 shows the empirical frequency distribution of the variables f , g and OM . Summary statistics of the measured soil characteristics are reported in Table 2. The soil erodibility factor was calculated for all available soil samples, notwithstanding that in a few cases OM was greater than 4%. Fig. 3 also shows the empirical frequency distribution of the soil erodibility factor (Table 2). The ratio between the maximum and the minimum K factor was equal to 10.3, suggesting a moderate variability of the soil erodibility factor in Sicily.

Eqs.(1) and (2) have a similar form but they do not coincide (Fig.4). In particular, in the experimental range $0.002 < D_g < 0.67$ mm of this investigation (Table 2), the two equations differ by up to 45%. In addition, the maximum predicted soil erodibility factor ($K_{R86} = 0.0423$ for $D_g = 0.030$ mm and $K_{R97} = 0.0439$ for $D_g = 0.022$ mm) is appreciably lower than

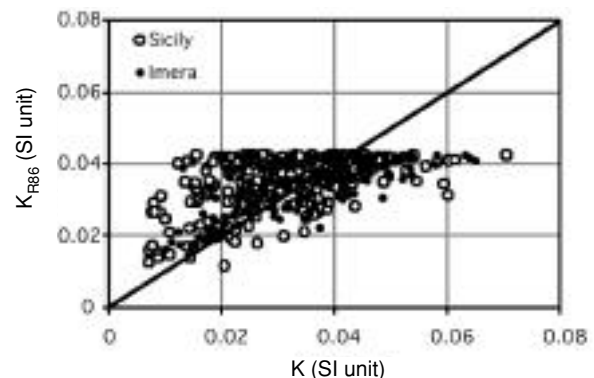


Fig. 6 - Comparison between the soil erodibility factor, K , values and the ones estimated by eq.(1), K_{R86} .

Index	Data-base	Eq.(1)	Eq.(2)	Eq.(9)	Eq.(11)
SSE	Sicily	0.0265	0.0277	0.0263	0.0118
	Imera Meridionale basin	0.0193	0.0226	0.0184	0.0069
NSEI	Sicily	0.273	0.241	0.279	0.675
	Imera Meridionale basin	0.320	0.206	0.354	0.756

TABLE 3 - Values of the Nash-Sutcliffe efficiency index, *NSEI*.

the maximum K value, equal to $0.1 \text{ t ha h ha}^{-1} \text{ MJ}^{-1} \text{ mm}^{-1}$, obtainable according to Wischmeier [1971]. Fig. 5 compares eqs.(1) and (2) with the (D_g, K) data pairs of this investigation. Slightly higher *NSEI* results were obtained with eq.(1) than eq.(2) (Table 3). Eq.(1) is also characterized by a *SSE* value less than the one corresponding to eq.(2).

The comparison between K and K_{R86} is shown in Fig. 6. For both equations and both data sets, a noticeable scattering of the data points was detected and rather low values of *NSEI* (< 0.320) were obtained, suggesting that the proposed relationships cannot be used to obtain reliable estimates of the erodibility factor at a selected sampling point. This result was not surprising given that a mean behaviour of the soil erodibility was considered by Römken [1997]. A possible additional reason was the difference among the different data sets employed by Römken [1986; 1997] and considered in this investigation.

Both Scheinost [1997] and Bagarello [2007] showed that D_g calculations vary with the considered number of particle-size fractions. The same result was obtained in this investigation. As an example for the Imera Meridionale basin data set, Fig. 7 shows the comparison between D_g and the corresponding estimate, D_{gPSD} , obtained by considering all 14 pairs (diameter, frequency) on the measured PSD curve. A ratio between D_g and D_{gPSD} ranging between 1.9 and 5.4 (mean = 2.7), depending on the soil sample, was obtained and the two variables were strongly correlated (coefficient of determination, $r^2 = 0.99$) according to the following relationship (Fig. 7):

$$D_{gPSD} = 0.250 \cdot D_g^{0.897} \quad (6)$$

Fig. 7 also shows the following relationship:

$$D_{gPSD} = 0.275 \cdot D_g^{0.918} \quad (7)$$

deduced by Bagarello [2007] in the Ruyigi area (Burundi), using 14 particle size classes to determine D_{gPSD} . The two equations are very similar and the lines are practically indistinguishable, suggesting that the experimental area had a practically negligible effect on the relationship between D_g and D_{gPSD} . Using D_{gPSD}

instead of D_g had a noticeable impact on the soil erodibility factor estimated by eq.(2) (Fig. 8). Fig. 9 shows that the relationship between K' and M can be expressed by the following power equation:

$$K'_{es} = 6.54 \cdot 10^{-6} M^{1.029} \quad (8)$$

which is characterized by a coefficient of determination, r^2 , equal to 0.693.

The ratio K/K'_{es} , with K'_{es} estimated by eq.(8), ranged from 0.293 and 2.492 and the mean value of this ratio was equal to 1.186 (coefficient of variation,

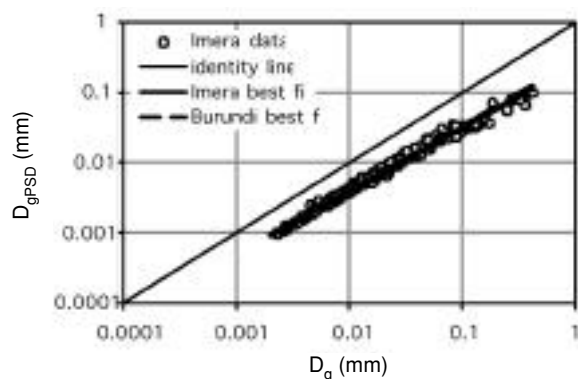


Fig. 7 - Comparison between the geometric mean particle diameter values calculated, according to eq.(3), using the USDA textural classes (D_g) and the ones determined by the measured particle size distribution (D_{gPSD}).

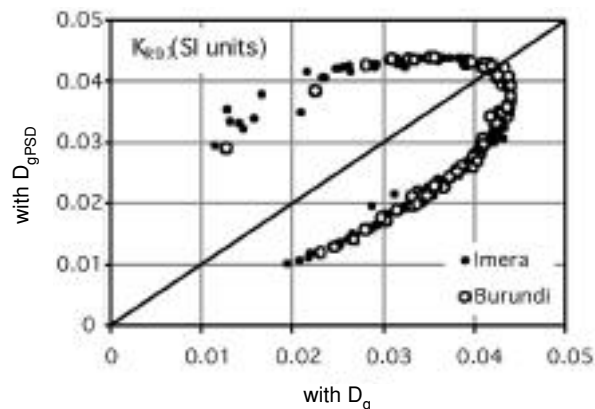


Fig. 8 - Effect of the estimate criterion of the geometric mean particle diameter on the estimated values of the soil erodibility factor.

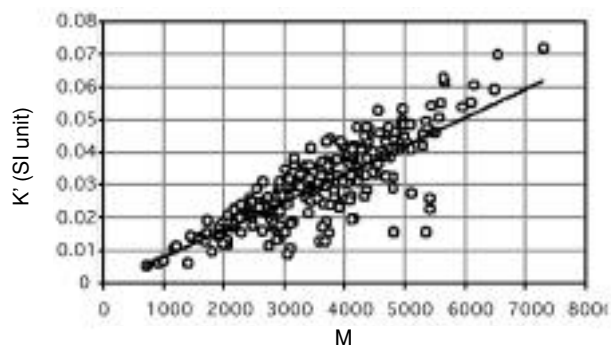


Fig. 9 - Relationship between the first approximation of the soil erodibility factor, K' , and the M parameter.

$CV = 36\%$). Therefore, an estimate of the soil erodibility factor, K_{es} , can be obtained in Sicily by amplifying K'_{es} estimated by eq.(8) by a factor of 1.186. In other words, the following equation can be used to estimate the soil erodibility factor using only soil textural data:

$$K_{es} = 7.76 \cdot 10^{-6} M^{1.029} \quad (9)$$

where K_{es} is expressed in the SI units.

For the two considered data sets (Sicily and Imera Meridionale basin), the $NSEI$ values obtained by eq.(9) were only slightly higher than the corresponding ones obtained by eq.(1).

Eq.(9) is also characterized by a SSE value slightly less than the one corresponding to eq.(1) (Table 3).

This result suggested that eq.(9) does not improve appreciably the quality of the prediction of K as compared to other existing relationships.

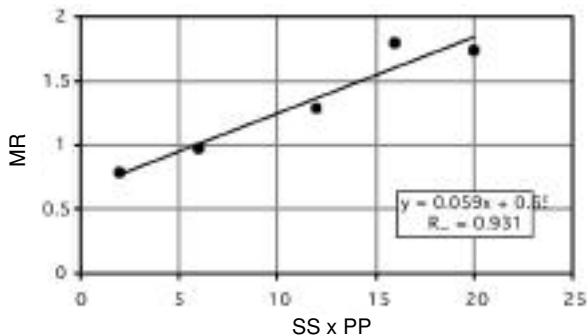


Fig. 10- Relationship between MR (mean of the values corresponding to a given value of the product $SS \times PP$) and $SS \times PP$

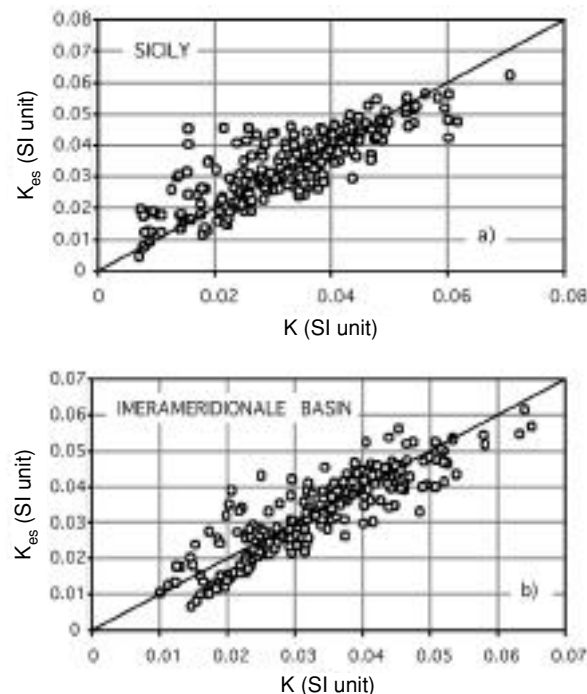


Fig. 11- Comparison between the soil erodibility factor estimated by eq.(11) and the corresponding values, K , obtained by the nomograph for a) the Sicily data set and b) the Imera Meridionale basin data set.

The ratios K/K'_{es} , with K'_{es} estimated by eq.(8), were grouped according to the value of the $SS \times PP$ index and a mean ratio of K/K'_{es} , denoted by the symbol MR , was calculated for each $SS \times PP$ value. Plotting MR against $SS \times PP$ showed that the two variables may be related by the following linear relationship (Fig. 10):

$$MR = 0.655 + 0.059(SS \times PP) \quad (10)$$

which is characterized by a value of $r^2 = 0.931$. Therefore, the following relationship was obtained by combining eqs.(8) and (10):

$$K_{es} = 6.54 \cdot 10^{-6} M^{1.029} [0.655 + 0.059(SS \times PP)] \quad (11)$$

Fig. 11 shows the comparison between K and K_{es} , with K_{es} calculated by eq.(11), for both the calibration (full Sicily) and the validation (Imera Meridionale basin) data sets.

The associated $NSEI$ and SSE values are reported in Table 3.

For both data sets, the performance of eq.(11) ($NSEI > 0.67$ and $SSE < 0.0118$) was appreciably better than the ones of the other tested or developed relationships. Therefore, an estimate of K on the basis of the measured soil particle distribution can be obtained in Sicily using eq.(11).

4. Conclusions

The soil erodibility factor of the USLE is a simple descriptor of the soil susceptibility to rill and interrill erosion. In this investigation, a regional analysis was carried out for Sicily using the soil erodibility factors determined by the original nomograph at 471 sampling points.

The relationships proposed by Römken [1986; 1997] and used in the RUSLE were initially tested. Then, two PTFs for estimating K' and K , respectively, on the basis of the measured PSD were derived. Testing analysis showed that the K estimate by the proposed PTF (eq.11) is appreciably more accurate than the one obtainable by other relationships.

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All Authors analyzed the results and contribute to write the paper.

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SUMMARY

The soil erodibility factor, K , of the Universal Soil Loss Equation (USLE) is a simple descriptor of the soil susceptibility to rill and interrill erosion. The original procedure for determining K needs a knowledge of soil particle size distribution (PSD), soil organic matter, OM , content, and soil structure and permeability characteristics. However, OM data are often missing and soil structure and permeability are not easily evaluated in regional analyses. The objective of this investigation was to develop a pedotransfer function (PTF) for estimating the K factor of the USLE in Sicily (south Italy) using only soil textural data. The nomograph soil erodibility factor and its associated first approximation, K' , were determined at 471 sampling points distributed throughout the island of Sicily. Two existing relationships for estimating K on the basis of the measured geometric mean particle diameter were initially tested. Then, two alternative PTFs for estimating K' and K , respectively, on the basis of the measured PSD were derived. Testing analysis showed that the K estimate by the proposed PTF (eq.11), which was characterized by a Nash-Suttcliffe efficiency index, $NSEI$, varying between 0.68 and 0.76, depending on the considered data set, was appreciably more accurate than the one obtained by other existing equations, yielding $NSEI$ values varying between 0.21 and 0.32.

Keywords: Soil erosion, Soil erodibility, Pedotransfer functions.

