

Harvesting techniques for non-industrial SRF biomass plantations on farmland

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Abstract

The goal of this study was to compare the technical and economic performance of terrain chipping and roadside chipping, applied to short rotation biomass plantations. The null hypothesis was that no significant difference are in the performance of the two work systems, when applied to short rotation coppices. Those systems especially designed for non-industrial SRF plantations, were used for conventional logging operations. The difference on the above mentioned systems consisted especially in the chipping location: chipping was performed directly to the field (containers reach the chipper in the field) or at the field's edge (roadside chipping). Both systems were tested on two of the most common SRF poplar clones in Italy, namely: AF2 and Monviso. Plots were allocated randomly to the two treatment levels (roadside or field chipping) than blocked for two main clone types (AF2 and Monviso) so that each of the 4 treatments level and clone types has a minimum repetition plot of 6 times (total of 24 replications). The Plot were identified with paint markings at the stump so each plot area could be identified at the ground. Net weight of each charge was obtained by a certified weighbridge, so each plot has its own productivity in terms of weight and time consumption. Results were encouraging: harvesting cost varied from 16.3 to 23.2 € tonne-1, and was lower for terrain chipping and for the most productive clone (Monviso). Despite its higher cost, roadside chipping was preferred for its better terrain capability and for the superior storage quality of uncomminuted biomass. Both systems were suboptimal in their current configurations. They could offer a better performance, subject to minor improvements

Introduction

Covering marginal farmland with fast-growing tree species could be a cost-effective way to produce wood biomass for industrial and energy use (Hoogwijk *et al.* 2003). Afforestation, compared to conventional agriculture, offers a better environmental performance due to reduced water (Heller *et al.* 2003), chemical (Sage 1998) and fossil energy (Djomo *et al.* 2011, Hillier *et al.* 2009) inputs. In case of soil and groundwater contamination, planting trees can be a way to filter it (Rockwood *et al.* 2004). After changing land use from farm crops to forest plantations the soil carbon stock increases (Guo and Gifford 2002, Coleman *et al.* 2004) and the farm-scale biodiversity and landscape are also improved (Rowe *et al.* 2011, Weih 2008).

Depending on site conditions and product strategy, modern biomass plantations have a harvesting turnover from 2 to 10 years if established with hardwoods (O'Neill *et al.* 2010). Farmers prefer a very short rotations (2 to 4 years) because they are used to short return times and are generally averse to long waiting times (Londo *et al.* 2004). Due to the small tree size, 2 to 4 years turnover plantations are effectively harvested with modified foragers (Manzone *et al.* 2009). In contrast, bigger investors can afford longer return times and favour slightly longer rotations (5 to 10 years), which generally offer better value recovery (Spinelli *et al.* 2008). These plantations are best harvested with dedicated forest technology, specifically modified for the task (Spinelli and Hartsough 2006, Grosse *et al.* 2008). Many benefits are offered by a rotation between 5 and 10 years because a better capacity to capture growth rate increase as the longer turnover, (Ceulemans and Deraedt 1999; Pallardy *et al.* 2003); a higher resiliency to the effects of the occasional bad season (Badenau and Auclair 1989); the capacity of guaranteeing high biomass yields at lower planting densities (Willebrand *et al.* 1993); a lower bark to fibre ratio (Phelps *et al.* 1985), offering higher pulp (Ai and Tschirner 2010) or energy conversion (Kenney *et al.* 1990) yields, as well as lower ash contents (Tharakan *et al.* 2003). The advantages of extended rotations have finally attracted European farmers (Spinelli *et al.* 2011), who seem increasingly disaffected with traditional short rotation coppice (Helby *et al.* 2006).

However, extended rotations offer relatively large trees, which cannot be harvested with adapted foragers (Spinelli *et al.* 2009a). Unfortunately, most farmers lack the critical mass to acquire highly-productive forestry equipment, just for harvesting their own biomass plantations. Hence, there is a need for adapting conventional forest machinery to use in the new crops. In Europe, that means using cut-to-length (CTL) equipment, especially harvesters and forwarders (Gellerstedt & Dahlin 1999). These machines are designed for felling, delimiting and crosscutting trees directly at the stump site, and then forwarding processed assortments to the nearest landing (Chiorescu and Grönlund 2001). Harvesters and forwarders are not designed for whole-tree harvesting, which has proven the most effective system when handling biomass plantations (Spinelli *et al.* 2009b). However, they can still be used for whole-tree harvesting, although with a some-

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what reduced efficiency. The goal of this study was to determine the performance of conventional CTL equipment when used for whole-tree harvesting in biomass plantations. In particular, we determined the unit harvesting cost in financial, energy and emission terms. Suggestions for improvement were also provided.

Materials

The machines used to make this study were a dedicated harvester (Valmet 921), a 10-t forwarder (Valmet 840), an industrial 335 kW chipper (Jenz HEM 561) towed by a 95 kW farm tractor (Valtra 8450) and two tractor-trailer units which have a capacity of 42 m³. The chips load was moved from the field to the central collection point consisting in a concrete pad, covering a distance of 600 m. The access at the fields is possible only if the soil was not wet. The system was like the type commonly used for the harvesting of conventional poplar plantations (Spinelli *et al.* 2011b).

The system was tested in two different configuration: in the first configuration called “field’s edge” trees were felled and bunched by the harvester and then crosscut at mid-length with a chainsaw, than the 10-t forwarder moved the tree sections to the chipper at field’s edge, over an average distance of 150 m. Chips were blown into the two tractor-trailer units, and transported to the central collection point.

The second configuration, called “terrain chipping”, trees were felled and bunched by the harvester, then reached by the chipper directly in the field, so that neither crosscutting nor separate forwarding were necessary, because the same tractor-trailer units moved the chips from the field to the central collection point. This mode was swifter, because required fewer steps, but could only be applied if the field was accessible to the heavy chipper and the cumbersome tractor-trailer units. It was also least suited to building long-term biomass stores, which should be assembled with tree sections rather than chips, because chips do not store well (Jirijš 2005).

This system was tested on two different poplar clones, among the most common in Italian poplar plantations. These were the hybrid poplar (*Populus x euroamericana*) clones AF2 and Monviso.

Methods

The experimental design included four treatments, deriving from the combination of clone types (AF2 or Monviso) with harvest modes (terrain chipping or roadside chipping). Each treatment was replicated 6 times. Therefore, the plantations were divided into experimental blocks consisting of 4 rows of 25 trees each. Since the trees had been established at a 3x2 m spacing the average surface area of the plots was equal to 600 m². The blocks were located in two adjacent 5-year-old plantations and were randomly assigned to the harvesting modes in each plantation (Figure 1).

The authors recorded the time spent on each block by each machine, separating productive time from delay time (Björheden *et al.* 1995). Delay time is typically erratic, and it may introduce excessive variability to a study conducted on relatively small blocks. Besides, a short-term study may fail to produce an accurate representation of delay time. For this reason, delay time was averaged for each machine across the whole test (*i.e.* 12 blocks for the chainsaw and the forwarder and 24 blocks for the harvester and the chipper). Hence, the total net time and delay time for each machine were used to calculate an appropriate delay factor, *i.e.* the ratio of delay time to net work time. Delay factors were then compared to the results obtained from other long term stud-

ies, conducted by the same authors on the same machine types under similar work conditions (Spinelli *et al.* 2003, Spinelli and Visser 2008, Spinelli and Visser 2009). Corroboration was obtained for the harvester, the chainsaw and the forwarder, whose measured delay factors were adopted into use. The delay factor calculated for the chipper was not corroborated by existing literature, and was discarded. Instead, we adopted the long-term figures found in the reference material.

Harvested volumes were estimated by taking all chip loads to a certified weighbridge. Loads were separated by block, assembling partial loads when necessary. Moisture content determination was conducted on 6 samples per clone, collected in sealed bags and weighed fresh and after drying for 48 hours at a temperature of 103° C in a ventilated oven.

Machine costs were provided by the contractor and reflected the contracting rates typical of the region. They were: 110 € h⁻¹ for the harvester, 15 € h⁻¹ for the chainsaw team, 70 € h⁻¹ for the forwarder, 240 € h⁻¹ for the chipper and 50 € h⁻¹ for each tractor-trailer unit. In fact, when chipping was performed at roadside, one driver managed both tractor-trailer units, so that the total cost for the two units dropped from 100 € h⁻¹ to 75 € h⁻¹.

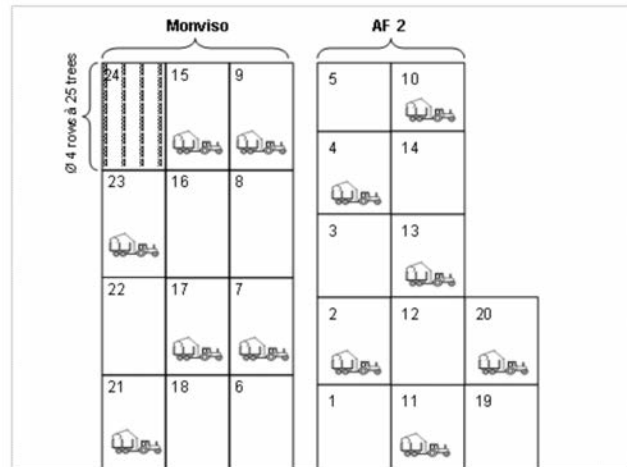


Figure 1. Experiment layout.

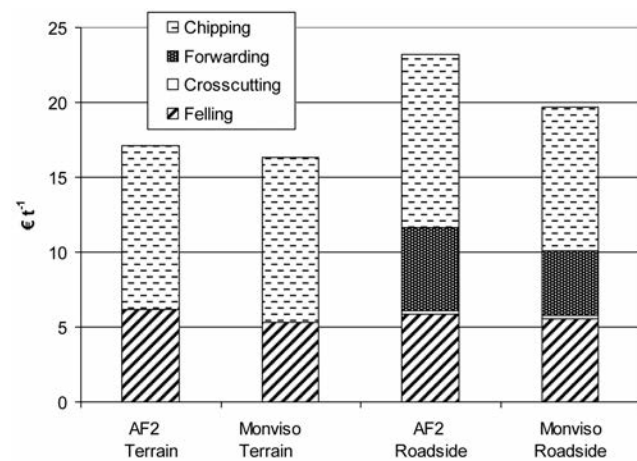


Figure 2. Breakdown of harvest cost by work step.

Results and Discussion

Both clones performed very well, with a high survival rate and a very fast growth: Monviso gave the best results, offering larger tree size and higher stand stocking compared to AF2. All these differences were statistically significant. Monviso trees had a significantly smaller diameter at breast-height compared to AF2 trees. They were also taller, but in this case the difference had no statistical significance. The same accounted for moisture content. Hence, the larger individual weight of Monviso trees must be related to different form, taper or branching. Table 1 shows the basic statistics for the test fields.

Table 1. Stand characteristics and biomass yield by harvesting site.

Clone type	AF2		Monviso		P
	mean	SD	mean	SD	
DBH, cm	16.2	0.7	14.6	0.8	<0.0001
Height, m	18.2	2.3	18.7	1.8	0.7569
Mass, kg tree ⁻¹	116.8	12.3	125.5	10	0.0713
Density, trees ha ⁻¹	1595.1	33.9	1599.8	20.7	0.6891
Stocking, tonnes ha ⁻¹	186.3	19.3	200.9	17.8	0.0669
m.c., %	56.3	1.7	54.7	1.2	0.0839
Stocking, odt ha ⁻¹	81.4	8.4	91	8.1	0.0093
Yield, odt ha ⁻¹ year ⁻¹	16.3	1.7	18.2	1.6	0.0093

DBH = tree diameter at breast height; SD = standard deviation; odt = oven-dry tonnes; P= p-value for the clonal difference obtained from the unpaired t-test; Density = tree density at harvest, from a theoretical initial density of 1667 trees ha⁻¹.

Table 2. Machine productivity by clone type and harvest mode.

Clone type	Harvest mode	Terrain chipping	Roadside chipping	
	AF2	Monviso	AF2	Monviso
Felling	18.0	21.1	18.9	20.2
Crosscutting	NA	NA	58.3	59.5
Forwarding	NA	NA	12.9	16.4
Chipping	31.2	31.1	28.3	34.3

Productivity figures are in fresh tonnes per scheduled work hour, including delays.

Table 3. Anova table for machine productivity

	Effect	DF	SS	MS	F-Value	P-Value	Power
Felling	Treatment	1	1.24*10 ⁻⁵	1.24*10 ⁻⁵	3.27*10 ⁻⁶	0.9986	0.05
	Clone	1	29.03	29.03	6.64	0.0180	0.69
	Interaction	1	5.35	5.35	1.23	0.2816	0.18
	Residual	20	87.42	4.37			
Crosscutting	Clone	1	4.61	5.61	0.03	0.8716	0.05
	Residual	10	1677.17	167.72			
Forwarding	Clone	1	35.26	35.26	10.98	0.0078	0.86
	Residual	10	32.12	3.21			
Chipping	Treatment	1	0.14	0.14	0.01	0.9105	0.05
	Clone	1	50.85	50.85	4.57	0.0452	0.52
	Interaction	1	55.84	55.84	5.01	0.0367	0.56
	Residual	20	222.71	11.14			

Average yield was 18.2 and 16.3 oven-dry tonnes (odt) per ha, respectively for Monviso and AF2. These figures compared well with the average values measured further north for the best poplar (Karacic *et al.* 2003; Pellis *et al.* 2004; Henderson and Jose 2010) and willow (Nordh and Verwijst 2004, Proe *et al.* 2002) clones. This was a witness to the good quality of the Italian clones and climate. In this respect, it is important to note that the values in this study were net, after harvesting losses, and they were obtained from relatively large plots under operational conditions. As is shown in Table 2 and in Table 3 (ANOVA), the productivity of felling, forwarding and chipping was significantly affected by clone type (Monviso or AF2). The effect of clone type is explained by the different tree size, which has a strong impact, on the other hand, harvest mode (*i.e.* terrain chipping or roadside chipping) doesn't affect machine productivity. That has been demonstrated many times for harvesters (Holtzschler *et al.* 1997), feller-bunchers (Visser and Stampfer 2003), forwarders (Tiernan *et al.* 2004) and chippers (Spinelli and Magagnotti 2010). The lack of any significant effect for harvest mode points at the versatile quality of the machines on test. It may also hint at a suboptimal system, which is not specialised enough for deployment under specific mode and conditions. This point is supported by the superior productivity figures obtained with specialised harvesting systems. Under the very similar conditions of US poplar plantations, the productivities of felling, forwarding and chipping are 35÷45, 30÷45 and 48÷52 t hour⁻¹, respectively (Spinelli and Hartsough 2006). These values are between 1.4 and 2.7 times higher than those obtained from the current experiment. Similar differences are also found for the whole-tree harvesting of eucalypt trees from SRF plantations (Spinelli *et al.* 2009b). The differences are highest for felling and forwarding, which should receive priority when trying to improve the harvesting system under test. Significant improvements could be obtained with limited investment. In particular, the harvester head on the dedicated CTL harvester should be replaced with an accumulating felling-bunching device, capable of multi-tree handling. Specific models are now available for application to conventional CTL harvesters, and can be obtained at a reasonable price (Spinelli *et al.* 2006). On the forwarder, the conventional short-wood bunk should be replaced with an inverted grapple for temporary conversion into a clam-bunk skidder. This machine is best suited to whole-tree extraction, and would offer superior productivity. Both measures would not require large investments and would be reversible. Hence, they could be implemented by small contractors and would maintain the versatile character of existing machinery, which could be reconverted to the old configuration for use in conventional forestry operations.

Table 4. Harvesting cost: financial.

Harvest mode Clone type	Terrain chipping		Roadside chipping	
	AF2	Monviso	AF2	Monviso
Financial (€ t ⁻¹)	17.1	16.3	23.2	19.7

Table 5. Anova table for the financial, energy and emission cost.

	Effect	DF	SS	MS	F-Value	P-Value	Power
Financial	Treatment	1	133.46	133.46	63.51	<0.0001	1.00
	Clone	1	27.30	27.30	12.99	0.0018	0.94
	Interaction	1	10.83	10.83	5.15	0.0344	0.57
	Residual	20	42.03	2.10			

The monetary cost of harvesting varied between 16.3 and 23.2 € t⁻¹, delivered to the central collection point and excluding further transportation (Table 4). Both clone type and harvest mode had a significant effect on unit cost (Table 5): cost was lower for the Monviso clone, due to its larger tree size; it was higher for roadside chipping, due to the larger number of work steps, each incurring additional expenses (Figure 2). These costs are relatively high, if compared to the costs reported for the industrial harvesting of the US poplar plantations, in the range of 10 US dollars per tonne. The costs recorded in this study were also higher than those reported for harvesting shorter rotations with forage harvesters, which are in the range of 15 – 20 € t⁻¹ (Spinelli *et al.* 2009a). However, the costs recorded in this study are still much below the average price offered by most industrial biomass plants in Italy. This is estimated to 48 € t⁻¹ delivered to the plant (Spinelli *et al.* 2011c), which leaves between 15 and 22 € t⁻¹ to cover plantation costs, after deducting an average transportation cost around 10 € t⁻¹.

Conclusions

Conventional forest machinery can be easily deployed for harvesting biomass plantations established over farmland.

The harvesting system offers a suboptimal performance, but it can be substantially improved, especially when deployed under the roadside chipping mode. This could allow a significant reduction of harvesting cost, for a relatively small additional investment. While terrain chipping incurs the lowest harvesting cost, it may be safest to focus on roadside chipping. This has a higher potential for improvement and offers significant technical advantages. In particular, the forwarders used for biomass extraction have a much better floatation compared to the tractor-trailer units, which allows stand access under wet soil conditions, as well as reduced soil compaction and stool damage. Furthermore, roadside chipping is best suited to building biomass stores, in the form of stacked tree sections at the roadside. As productivity is dependent on tree size, one could expect significant cost reductions if better clones are developed and/or rotations are further extended.

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